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HE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT:

TONY ALBRECHT et al.

GROUP ART UNIT:

2828

SERIAL NO.:

09/824,086

EXAMINER:

LEUNG, Q.

FILING DATE:

April 2, 2001

INVENTION:

OPTICALLY PUMPED, SURFACE-EMITTING

SEMICONDUCTOR LASER DEVICE AND METHOD FOR

THE MANUFACTURE THEREOF

Hon. Assistant Commissioner for Patents Washington D.C. 20231

SIR:

Enclosed for the Examiner's review are two references cited in the International Search Report. In particular, a copy of U.S. Patent No. 4,380,076 and of PCT published application W0 01/93386 A1 are enclosed. A form 1449 is also enclosed listing the references. No action on the merits has yet been received and so no fee is due with this statement

Favorable consideration of the present application in view of the enclosed art is hereby requested.

Respectfully submitted,

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ATTORNEY FOR APPLICANT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

The Assistant Commissioner for Patents Washington, D.C. 20231

on July 22, 2002.

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